



COMMONWEALTH OF KENTUCKY
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
1003 Twilight Trail
Frankfort, Kentucky 40601-8400
502-564-4850 phone
502-564-1442 fax
<http://abc.ky.gov>

Instructions for Basic Application

General Requirements

- a. You must be at least 21 years old to apply.
- b. You must be a Kentucky resident for the past year unless a Corporation, LLC, or Ltd. Partnership.
- c. You must be a U.S. citizen unless you apply as a Corporation, LLC, or Ltd. Partnership.
- d. Individuals, owners, partners, officers, directors, and interested parties cannot get a license if convicted of any felony during the past five years or any alcohol or controlled substance related misdemeanor during the past two years.

LOCAL APPROVAL PROCESS

There may be local as well as state requirements and fees. The local ABC administrator's approval of your application must be sent to the state ABC administrator before your license can be processed in Frankfort. Visit <http://abc.ky.gov> to find the local ABC administrator in your area.

TIME TO PROCESS APPLICATIONS

The state ABC office needs at least 60 days to process an application. Local administrator processing time is in addition to that required by the state application. This timeline is based on when a complete application is received by our office. Please take into consideration the processing time your local ABC Administrator may take to review your application. If your application is withdrawn or your license is not issued, the fee will be refunded if you file a **written request for a refund**. The state ABC office will keep \$50 of your application fee for processing costs.

How to Apply

- STEP 1** Advertise your intention to apply for an ABC license(s) one time in a newspaper of general circulation. The newspaper must be in the same area as the premises to be licensed. An officer of the newspaper must complete the affidavit of publication. It is a form included with the application. Attach the completed affidavit of publication form and the newspaper clipping to your application.
An advertisement is not needed if applying for a Transporter's, Air Transporter, Special Nonbeverage Alcohol, Malt Beverage Storage, Distilled Spirits/Wine Storage, or Bottling House Storage license.
- STEP 2** In Section (C), list all owners of a privately-owned Sole Proprietor, Partnership, Corporation, Limited Liability Partnership, Limited Liability Company (LLC), etc. Name the trustee if the company is owned in whole or part by a trust, and give the names and ownership percentages of the three highest officers of any entity that owns the Corporation or LLC in whole or part. Interlocking interests of license holders are prohibited by 804 KAR 4:015.
- STEP 3** Applicants must attach copies of statewide police criminal background checks, not more than 30 days old, from all states where they resided during the past five (5) years. For Kentucky dial (800) 928-6381 or go to <http://www.courts.ky.gov>. Attach background checks for all primary officers of a privately owned Corporation or LLC and for any person who owns more than 10% of it. Attach background checks for the three highest officers of a publicly traded Corporation, the Trustee if the private Corporation is owned in whole or part by a Trust, and the three highest officers of any entity that owns the Corporation or LLC in whole or part. This is not necessary for a Transporter's, Air Transporter, or Special Industrial Alcohol license.
- STEP 4** Attach a copy of the articles of incorporation, partnership papers, or organizational papers, if you are applying as a Corporation, Limited Partnership, or LLC. Those papers are not needed for a Transporter's or Air Transporter license.
- STEP 5** Attach a copy of the deed on file with the County Clerk if you own the real estate premises where you plan to sell alcohol. Attach a copy of your lease if you do not own the real estate premises where you plan to sell alcohol. The deed, lease, sub-lease, or management agreement must be valid and complete. It must be made to the same name used to apply for the license. If you possess the premises by sub-lease, also attach a copy of the lease between the real estate owner and the original tenant or lessee showing that the sub-lease complies with the original lease.

- STEP 6** Call the Kentucky Revenue Cabinet at (502) 564-3306 to get the Kentucky sales tax numbers for your business. Your license will not be issued without these numbers.
- STEP 7** Use Section (E) of the application to determine which license type(s) you want. There are different requirements for different licenses. Complete the Sections that apply to your license type(s). Attach all documents necessary for your license type(s). Choose a one (1) or two (2) year period if applying for a Wholesaler, Distributor, Distiller's Class A, Distiller's Class B, Winery, Small farm Winery, Brewer's, or Microbrewery license. Your application will be returned if it is incomplete.
- STEP 8** Section (E) tells you the fee for each license. The NQ Malt Beverage Retail Package License fee is \$210. So is the NQ-4 Malt Beverage Retail Drink License fee. If you get both, one is considered a primary license and the other is a secondary license. The fee for the secondary license is discounted \$160, so instead of \$210 + \$210 = \$420, it is \$210 + \$50 = \$260. Pay your application fee(s) by attaching a completed **REMITTANCE FORM** or a **certified check, cashier's check or money order payable to: Kentucky State Treasurer. WE WILL NOT ACCEPT CASH BY MAIL OR HAND-DELIVERY.**
- STEP 9** Check your application to be sure it is complete and that all required documents are attached. Take it to the local ABC administrator for signature of approval. (Some license types do not require local ABC approval.) Then submit the application to the state ABC.

Quota Retail Package License (liquor/wine) Complete all fields and answer all questions in **Sections A, B, C, D, E, F, H, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

Quota Retail Drink License (liquor/wine) Complete all fields and answer all questions in **Sections A, B, C, D, E, F, G, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

NQ-1 Retail Drink License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, J, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** An NQ-1 is a combination license for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only. Business types qualified to hold an NQ-1 license are limited to convention centers, horse tracks, automobile race tracks, commercial airline systems, commercial rail systems, or a qualifying state park.

NQ-2 Retail Drink License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, K, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** An NQ-2 is a combination license for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only. Business types qualified to hold an NQ-2 license are limited to restaurants with at least 50 seats, motels/hotels, airports, and riverboats.

NQ-3 Retail Drink License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, L, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** An NQ-3 is a combination license for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only. Business types qualified to hold an NQ-3 license are limited to private club or railroad cars (dining cars) and Pullman Company cars.

NQ-4 Retail Malt Beverage Drink License (beer) Complete all fields and answer all questions in **Sections A, B, C, D, E, F, I, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

NQ - Retail Malt Beverage Package License(beer) Complete all fields and answer all questions in **Sections A, B, C, D, E, F, I, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

NQ - Retail Malt Beverage Package License AND an NQ-4 Retail Malt Beverage Drink License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, I, CC, the APPLICATION AFFIDAVIT, and Section BB if applicable.**

Limited Restaurant License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, M, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This license is for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only.

Limited Golf Course License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, N, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This license is for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only.

Qualified Historic License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, O, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This license is for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only.

Caterer's License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, P, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This license is for the sale of distilled spirits, wine, and malt beverages (beer) by the drink only. It is available only in areas where such alcohol sales are permitted. It is not valid in territory where such sales are not authorized. There are local requirements such as food sales percentages.

Transitional License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, Q, BB, CC, and the APPLICATION AFFIDAVIT.** To qualify to receive a transitional license, you must be purchasing the existing business operating at the premises for which you are applying. A permanent license will not be issued if any tax liabilities or wholesaler debts are owed. Clearance from the Kentucky Department of Revenue and from the wholesaler is required. The issuance of a transitional license immediately cancels and voids the seller's license at those premises.

Distiller's Class A License/Distiller's Class B License/Rectifier's License OR Winery License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, R, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit**. You must register all brands using **Product Registration Online** to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>. You must have a distribution agreement(s) with a licensed Kentucky Wholesaler(s) for all brands that will be sold to licensed Kentucky retailers.

Small Farm Winery License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, S, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit**. Local ABC approval is not needed. An in-state or out-of-state small farm winery must register all brands using **Product Registration Online** to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>. You must have a distribution agreement(s) with a licensed Kentucky Wholesaler(s) for all brands that will be sold to licensed Kentucky retailers. An in-state small farm winery's intent to apply must be advertised in the newspaper having the largest paid circulation in that county. An out-of-state small farm winery's intent to apply must be advertised in the *Courier-Journal* newspaper in Louisville, Kentucky.

Small Farm Winery Off-Premises License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, T, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** This is available to small farm winery license holders only. Local ABC approval is not needed. Any product, including your own, sold at off-site retail premises must first be purchased from a licensed Kentucky wholesaler.

Brewer's License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, U, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit**. You must register all brands using **Product Registration Online** to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>. You must have a distribution agreement(s) with a licensed Kentucky distributor(s) for all brands that will be sold to licensed Kentucky retailers.

Microbrewery License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, V, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit**. You must register all brands using **Product Registration Online** to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>. You must have a distribution/territorial agreement(s) for all brands and designated territory with a licensed Kentucky distributor(s) if your sales are to licensed Kentucky retailers. All microbreweries, including NQ-4 licensees, must file a completed "ABC/Revenue Form-Microbrewery's Retail Gross Receipt Report" with their contracted distributor(s) monthly. That form tracks monthly production and retail sales. Daily direct sales (package and drink) cannot exceed 228 ounces per customer.

Distributor's, Wholesaler's, or Small Farm Winery Wholesaler's License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, W, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** You must provide your **Federal Basic Permit**. Local ABC approval is not needed when applying for a Small Farm Winery Wholesaler's License. You must register all brands using **Product Registration Online** to be completed electronically at <https://ky.productregistrationonline.com/distributor/login> or <https://www.productregistrationonline.com>.

Transporter's or Air Transporter License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, X, and the APPLICATION AFFIDAVIT.** You must provide your Interstate Commerce Commission (ICC) Authority.

Malt Beverage Storage License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, Y, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.** Business types qualified to hold a Malt Beverage Storage License are limited to Kentucky distributors, brewers, and retailers.

Distilled Spirits/Wine Storage License OR Bottle House/Bottling House License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, Y, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable.**

Entertainment Destination Center License Complete all fields, and questions in **Sections A, B, C, D, E, F, Z, and the APPLICATION AFFIDAVIT**. Applicants must strictly satisfy 804 KAR 4:370.

Hotel In-Room License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, CC, the APPLICATION AFFIDAVIT, and Section BB, if applicable**. The business type qualified to hold a Hotel In-Room License is limited to that of a bona fide hotel/motel which offers overnight accommodations for hire. This license authorizes unbroken/unopened package retail sales delivered by a hotel/motel employee to a registered guest's room or a permanent cabinet or other such facility (mini bar) accessible only by lock and key and in a guest's room.

Special Nonbeverage Alcohol License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, AA, and the APPLICATION AFFIDAVIT**. Local ABC approval is not needed.

Brew on Premise License Complete all fields and answer all questions in **Sections A, B, C, D, E, F, and the APPLICATION AFFIDAVIT**. Applicants must strictly satisfy 804 KAR 4:340.

Site Inspections

The Kentucky Department of Alcoholic Beverage Control will assign an Investigator after your complete application is received. The Investigator will contact you at the numbers provided on the application to schedule a site inspection at which you or your legally authorized representative will be interviewed. Give the Investigator a professional floor plan or blueprint depicting the interior layout of the premises to be licensed. Final consideration of your application will take place only after the Investigator's inspection report is filed. A second or final inspection is required if construction or remodeling of the real estate premises to be licensed was incomplete at the time of the first inspection.

For assistance contact the Kentucky Department of Alcoholic Beverage Control, 1003 Twilight Trail, Frankfort, KY 40601-8400; phone (502) 564-4850; fax (502) 564-1442; website <http://abc.ky.gov>

You must obtain a **Federal "Special Occupational Tax" Stamp** or a **"Federal Basic Permit"** from the Alcohol and Tobacco Tax and Trade Bureau (TTB). Forms and instructions are available on line at www.ttb.gov

By e-mail at: ttbtaxstamp@ttb.gov

By mail or in person: Federal Alcohol and Tobacco Tax and Trade Bureau, National Revenue Center, Suite 8002, 550 Main St., Cincinnati, Ohio 45202-5215. Phone: (513) 684-3334; Cincinnati number (1-800-937-8864).



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Val. _____

BASIC APPLICATION FOR ALCOHOLIC BEVERAGE LICENSE

Applications will be returned if all questions are not answered completely.

Val. _____

LEAVE BLANK - FOR ABC USE ONLY

License # _____ \$ _____	License # _____ \$ _____	License # _____ \$ _____
License # _____ \$ _____	License # _____ \$ _____	License # _____ \$ _____
Reviewing Licensing Administrative Specialist _____	Input Date _____	Review Date _____
Malt Beverage Administrator's Approval _____	Date _____	
Distilled Spirits Administrator's Approval _____	Date _____	

SECTION A

SECTION B

Applicant's business/company name _____ (applicant's name, if sole proprietor)	2. Tax numbers must be issued in the applicant's name.
DBA (Doing Business As): _____	Ky. Sales & Use Tax # _____
Address of premises to be licensed _____	Ky. Withholding Tax # _____
City _____ County _____	Ky. Corporate Tax # _____
Precinct _____ State _____ Zip code _____	Federal EIN # _____
Mailing address (if different from above) _____	
Contact person _____ E-mail address _____ Contact phone _____	
Fax _____ Premises phone _____ Fee enclosed \$ _____	

SECTION C

3. Complete the following for the business proprietor, partner(s), and all persons interested in the business to be licensed. List all owners, officers, directors, partners, managing members, members, and shareholders. Show 100% of the ownership. Make an attachment if additional space is needed. If you are operating under a management agreement, please enclose a copy of agreement.

Is this a publicly held company: ☐ YES ☐ NO

NAME AND HOME ADDRESS	ALL PHONE NUMBERS H= HOME W= WORK F= FAX C= CELL	SOCIAL SECURITY NUMBER OR EIN	TITLE	USA CITIZENSHIP	DATE OF BIRTH	LIST STATE(S) WHERE PERSON RESIDED IN PAST 5 YRS	% OF OWNERSHIP
	H _____ W _____ F _____ C _____			<input type="checkbox"/> YES <input type="checkbox"/> NO			% _____
	H _____ W _____ F _____ C _____			<input type="checkbox"/> YES <input type="checkbox"/> NO			% _____
	H _____ W _____ F _____ C _____			<input type="checkbox"/> YES <input type="checkbox"/> NO			% _____

SECTION D

4. Is the applicant the owner of the real estate where these premises are to be licensed? ☐ YES ☐ NO
 If yes, **attach** a deed. If no, **attach** a signed copy of the lease or permit.
- ABC **will not** issue any license(s) unless this lease extends through the full period of the license expiration date.
- ABC **will not** issue any license(s) unless the applicant has an active and valid lease or deed permit for the licensed premises.
- If the applicant is not the owner of the real estate premises:
- Name the owner of the real estate premises _____
- Give the address of the owner of the real estate premises _____
- Give a contact number for the owner of the real estate premises _____
- What is the expiration date of the lease or permit? (MM/DD/YY) _____
5. Are you operating under a management agreement? ☐ YES ☐ NO
6. If the applicant is a corporation, limited partnership, or limited liability company (LLC), is it in good standing with the Kentucky Secretary of State? ☐ YES ☐ NO
- Identify the state in which the applicant is incorporated or organized: _____
- Attach** a copy of the applicant's Articles of Incorporation or Articles of Organization.
 If incorporated or organized in another state, **attach** a Certificate of Authority to do business in Kentucky.
7. Is any business proprietor, partner, owner, officer, director, managing member, member, shareholder, or other person interested in the business to be licensed **NOT** a U.S. citizen? ☐ YES ☐ NO
- If yes, any non-U.S. citizen must **attach** a copy of the non-U.S. citizen's Social Security Card and federal government issued photo ID with alien ID number, or Federal Employee Identification Number (EIN) of the applicant.
8. Is the entire license fee paid by the applicant and not by any other person? ☐ YES ☐ NO
9. State the source of the funds for the premises, business, and license fees: _____
10. Has the applicant or any person listed in Section C (3) ever held any type of alcoholic beverage licenses? ☐ YES ☐ NO
- If yes, give the name of the state and license number(s) _____
- Is this license being transferred to a new location?
11. Does anyone named in Section C (3) of this application have any interest in any kind of alcoholic beverage business or in the premises of any alcoholic beverage business other than that for which the applicant is applying? ☐ YES ☐ NO
- If yes, **attach** a statement identifying the person(s) and describing the interest(s). **Attach** additional pages if needed.
- _____
12. Has the applicant or any person named in Section C (3) been convicted of any felony in the past five (5) years or been convicted of a misdemeanor directly or indirectly related to alcohol or a controlled substance in the past two (2) years? ☐ YES ☐ NO
- If yes, **attach** a statement identifying the person(s) convicted and date(s) and type(s) of conviction(s).
13. Has there ever been a suspension, denial, or revocation of any alcoholic beverage license held by the applicant or by any person named in Section C (3) of this application? If yes, **attach** a statement giving a full explanation, including date(s) of suspension, denial, or revocation. ☐ YES ☐ NO
14. a. Were the premises licensed to sell alcoholic beverages at any time during the past twelve months? ☐ YES ☐ NO
- NOTE:** Debts owed by the existing owner shall not prohibit the approval of a new application if the business is not being transferred pursuant to KRS 243.630.
- b. Are the premises currently licensed? ☐ YES ☐ NO
- If yes, give the Kentucky License number(s) _____
- c. Are the rights of an existing Quota Retail Package license or a Quota Retail Drink license being transferred? ☐ YES ☐ NO
- d. Is the applicant applying for a new Quota Retail Package license or a Quota Retail Drink license? ☐ YES ☐ NO
- e. Is the applicant acquiring an interest in the existing business? ☐ YES ☐ NO

SECTION D (Continued)

If you answered yes on 14(e), check all the following boxes that apply:

- ☐ Inventory
 ☐ Fixtures and equipment
☐ Ownership by purchase of assets
 ☐ Lease
 ☐ Ownership by purchase of shares
☐ Other _____

f. Does the existing business owe taxes or any tax returns?

☐ YES ☐ NO

15. Will gasoline or lubricating oil be sold or work be done on motor vehicles?

☐ YES ☐ NO

If yes, will an inventory of not less than \$5,000 in food, groceries, and related products be maintained?

☐ YES ☐ NO

16. Will tobacco products, alternative nicotine products, or vapor products be sold at the premise to be licensed?

☐ YES ☐ NO

If yes, check all products that will be sold:

- ☐ Tobacco products
☐ Alternative nicotine products
☐ Vapor products

SECTION E

17. Check the license type(s) for which the applicant is applying. For each license type selected, the applicant affirms that the requirements for that license type(s) are met.

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
RETAIL - QUOTA		
<input type="checkbox"/> Quota Retail Package License (KRS 243.230, 804 KAR 4:270) A quota license must be available prior to applying.	\$570	\$285
<input type="checkbox"/> Quota Retail Drink License (KRS 243.250, 804 KAR 4:270) A quota license must be available prior to applying.	\$620	\$310
RETAIL-NON QUOTA		
<input type="checkbox"/> NQ Retail Malt Beverage Package License (KRS 243.280)	\$210 If applying for both an NQ Retail Malt Beverage Package License and an NQ-4 Retail Malt Beverage Drink License, the total license fee for a full year for both is \$260: \$210 for a primary NQ Malt Beverage License and \$50 discounted fee to add the secondary NQ Malt Beverage License.	\$105
<input type="checkbox"/> NQ-4 Retail Malt Beverage Drink License (KRS 243.088)	\$210 If applying for both an NQ Retail Malt Beverage Package License and an NQ-4 Retail Malt Beverage Drink License, the total license fee for a full year for both is \$260: \$210 for a primary NQ Malt Beverage License and \$50 discounted fee to add the secondary NQ Malt Beverage License.	\$105
<input type="checkbox"/> NQ-1 Retail Drink License (KRS 243.082) Specify the business type: <div> <input type="checkbox"/> Convention Center - Premises capacity of 1,000 persons <input type="checkbox"/> Horse Track - Premises located at a track licensed by the Kentucky Racing Commission (KRS 243.265) <input type="checkbox"/> Automobile Race Track - Premises seating capacity of 30,000 persons <input type="checkbox"/> Air or Rail System - Commercial airline system or railroad company sells alcohol to passengers on scheduled or chartered trips <input type="checkbox"/> State Park - 9- or 18-hole golf course, or full-service lodge and dining room with or without a 9- or 18-hole golf course </div>	\$4,120	\$2,060

SECTION E (Continued)

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
<input type="checkbox"/> NQ-2 Retail Drink License (KRS 243.084) Specify the business type: <ul style="list-style-type: none"> <input type="checkbox"/> Restaurant - Minimum 50% of gross annual income from food sales and minimum seating capacity of 50 persons at tables <input type="checkbox"/> Motel/Hotel - Minimum 50 sleeping rooms, 25,000 square feet of parking, and maintain a restaurant with 50% food sales and minimum seating capacity of 50 people at tables <input type="checkbox"/> Airport - Premises located in a commercial airport through which more than 500,000 passengers arrive or depart annually <input type="checkbox"/> Riverboat - Capacity to carry more than 100 passengers, and license from U.S. Coast Guard 	\$830	\$415
<input type="checkbox"/> NQ-3 Retail Drink License (KRS 243.086) Specify the business type: <ul style="list-style-type: none"> <input type="checkbox"/> Private Club - Nonprofit charitable, civic, social, fraternal organization, or political club which has maintained a room from which the general public has been excluded for at least one (1) year <input type="checkbox"/> Dining Car - Railroad or Pullman car company that sells alcohol by package or drink on a train 	\$310	\$155
<input type="checkbox"/> Limited Restaurant License LR100 or LR50 (KRS 241.010 (32), KRS 242.1244, KRS 243.034) <ul style="list-style-type: none"> <input type="checkbox"/> LR100 - Minimum 70% food sales and minimum seating capacity of 100 persons at tables <input type="checkbox"/> LR50 -Minimum 70% food sales and minimum seating capacity of 50 persons at tables 	\$780	\$390
<input type="checkbox"/> Limited Golf Course License (KRS 243.038, KRS 243.039) 9- or 18- hole USGA regulation golf course	\$720	\$360
<input type="checkbox"/> Qualified Historic Site License (KRS 241.010, KRS 243.042)	\$1,030	\$515
<input type="checkbox"/> Caterer's License (KRS 243.033, 804 KAR 4:310) Premises contain commissary and applicant holds food service permit.	\$830	\$415
<input type="checkbox"/> Transitional Malt Beverage License (KRS 243.040, KRS 243.045) Check the box that applies: <ul style="list-style-type: none"> <input type="checkbox"/> Purchasing the existing business <input type="checkbox"/> Not purchasing the existing business 	\$60	N/A
<input type="checkbox"/> Transitional Distilled Spirits and Wine License (KRS 243.030, KRS 243.045) Check the box that applies: <ul style="list-style-type: none"> <input type="checkbox"/> Purchasing the existing business <input type="checkbox"/> Not purchasing the existing business 	\$60	N/A

SECTION E (Continued)		
LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
PRODUCER/SUPPLIER		
<input type="checkbox"/> Distiller's License -Class A [more than 50,000 gallons produced annually] (KRS 243.120, KRS 243.130, 804 KAR 4:050, 804 KAR 4:240) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$3,090 \$6,180	\$1,545 \$4,635
<input type="checkbox"/> Distiller's License -Class B [50,000 gallons or less produced annually] (KRS 243.120, KRS 243.130, 804 KAR 4:050, 804 KAR 4:240) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$1,000 \$2,000	\$500 \$1,500
<input type="checkbox"/> Rectifier's License (KRS 243.120, 804 KAR 4:050) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,580 \$5,160	\$1,290 \$3,870
<input type="checkbox"/> Winery License (KRS 243.120, KRS, 243.130) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$1,030 \$2,060	\$515 \$1,545
<input type="checkbox"/> Small Farm Winery License (KRS 243.155, 804 KAR 4:380) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$110 \$220	\$55 \$165
<input type="checkbox"/> Brewer's License (KRS 243.150, KRS 244.606) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,580 \$5,160	\$1,290 \$3,870
<input type="checkbox"/> Microbrewery License (KRS 243.157, KRS 244.606) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$520 \$1,040	\$260 \$780
DISTRIBUTION/WHOLESALE		
<input type="checkbox"/> Wholesaler's License (KRS 243.160, KRS 243.170) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,060 \$4,120	\$1,030 \$3,090
<input type="checkbox"/> Distributor's License (KRS 243.180, KRS 244.606) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$520 1,040	\$260 \$780
<input type="checkbox"/> Small Farm Winery Wholesaler's License (KRS 243.154, 804 KAR 4:420) Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$110 \$220	\$55 \$165
TRANSPORTERS		
<input type="checkbox"/> Transporter's License (KRS 243.200)	\$210	\$105
<input type="checkbox"/> Air Transporter License (KRS 243.217)	\$520	\$260
STORAGE		
<input type="checkbox"/> Malt Beverage Storage License (KRS 243.353) Specify the required business type: <input type="checkbox"/> Distributor <input type="checkbox"/> Brewer <input type="checkbox"/> Retailer	\$260	\$130

SECTION E (Continued)		
LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
<input type="checkbox"/> Distilled Spirits/Wine Storage License (KRS 243.355)	\$620	\$310
<input type="checkbox"/> Bottle House/Bottling House Storage License (KRS 243.030, 804 KAR 4:040)	\$1,030	\$515
SUPPLEMENTAL LICENSES		
<input type="checkbox"/> Supplemental Bar License Fees are required for the first five. (KRS 243.037, KRS 241.010) Select supplemental license type that applies to primary license type:		
<input type="checkbox"/> Quota Liquor Drink Supplemental Bar	\$620	\$310
<input type="checkbox"/> NQ-2 Supplemental Bar	\$830	\$415
<input type="checkbox"/> Limited Restaurant Supplemental Bar	\$780	\$390
<input type="checkbox"/> Limited Golf Course Supplemental Bar	\$720	\$360
<input type="checkbox"/> NQ-3 Private Club Supplemental Bar	\$310	\$155
For how many Supplemental Licenses is the applicant applying? _____		
<input type="checkbox"/> Sampling License (KRS 244.050) Select the required primary license type: <div> <input type="checkbox"/> Distillery <input type="checkbox"/> Quota Retail Package <input type="checkbox"/> Quota Retail Drink <input type="checkbox"/> NQ-2 Retail Drink </div>	\$110	\$55
<input type="checkbox"/> Special Sunday Retail Drink License Available if authorized by local ordinance or election. (KRS 244.290, KRS 243.050)	\$520	\$260
<input type="checkbox"/> Extended Hours Supplemental License Available only to holders of NQ-1 Retail Drink Licenses, Licensee located within a commercial airport, and Qualified Historic Site Licenses (KRS 243.050, 804 KAR 4:230)	\$2,060	\$1,030
<input type="checkbox"/> Small Farm Winery Off-Premises License (KRS 243.155)	\$30	\$15
<input type="checkbox"/> Hotel In-Room License (KRS 243.055)	\$210	\$105
SPECIALTY LICENSES		
<input type="checkbox"/> Entertainment Destination Center License (KRS 243.030, 804 KAR 4:370)	\$7,730	\$3,865
<input type="checkbox"/> Brew on Premises License (KRS 243.040), 804 KAR 4:340)	\$520	\$260
<input type="checkbox"/> Special Nonbeverage Alcohol License (KRS 243.320, 804 KAR 4:070)	\$60	\$30

SECTION F		
18. List all types of licenses applied for in Section E : _____		
19. Describe in detail the type of business and how alcoholic beverages will be sold: _____		

If applicable, how will Malt Beverages (beer) will be sold at the business: <input type="checkbox"/> Drink <input type="checkbox"/> Package <input type="checkbox"/> Both Drink and Package.		
If applicable, how will Wine and Distilled Spirits will be sold at the business: <input type="checkbox"/> Drink <input type="checkbox"/> Package <input type="checkbox"/> Both Drink and Package.		

Required Supplemental Information for Retail Licenses:

SECTION G

This section must be completed if applying for a Quota Retail Drink License.

20. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
21. Is the applicant applying for an NQ-4 Retail Malt Beverage Drink License? ☐ YES ☐ NO
22. Is the applicant applying for a NQ Retail Malt Beverage Package License? ☐ YES ☐ NO
23. Is the applicant applying for a Supplemental Bar License? ☐ YES ☐ NO
- State the number of additional Supplemental Bar licenses applied for: _____
24. Is the applicant applying for a Special Sunday Retail Drink License? ☐ YES ☐ NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
25. Is the applicant applying for a Sampling License? ☐ YES ☐ NO

SECTION H

This section must be completed if applying for a Quota Retail Package License.

26. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
27. Is the applicant applying for an NQ-4 Retail Malt Beverage Drink License? ☐ YES ☐ NO
28. Is the applicant applying for a NQ Retail Malt Beverage Package License? ☐ YES ☐ NO
29. Is the applicant applying for a Sampling License? ☐ YES ☐ NO
30. Are the premises to be licensed located within an incorporated city? ☐ YES ☐ NO

SECTION I

This section must be completed if applying for an NQ Retail Malt Beverage Package or NQ-4 Retail Malt Beverage Drink License.

31. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
32. If you are a Small Farm Winery applying for an NQ-4 retail malt beverage drink license, do you comply with all of the requirements prescribed in KRS 243.155(5)? ☐ YES ☐ NO
33. Check all licenses for which you are applying:
- ☐ NQ Retail Malt Beverage Package License ☐ NQ-4 Retail Malt Beverage Drink License
34. Do you plan to sell growlers? ☐ YES ☐ NO
35. Do you plan to sell marine fuel? ☐ YES ☐ NO
36. Do you plan to permit consumption of alcoholic beverages on the license premise? ☐ YES ☐ NO

If yes, please describe the nature of your business _____

SECTION J

This section must be completed if applying for an NQ-1 Retail Drink License.

37. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
38. Is the applicant applying for an Extended Hours Supplemental License? ☐ YES ☐ NO

SECTION J (Continued)

39. Does the applicant qualify for the NQ-1 Retail Drink License as a:

- | | |
|--|--|
| <input type="checkbox"/> Commercial airline system | <input type="checkbox"/> Convention center |
| <input type="checkbox"/> Auto racetrack | <input type="checkbox"/> Commercial railroad company |
| <input type="checkbox"/> Horse racetrack | <input type="checkbox"/> State Park |

40. If qualifying as a horse racetrack, **attach** a copy of its racing license issued by the Kentucky Racing Commission.

41. If qualifying as an air or rail system, **attach** a copy of the listing of the air or rail terminals used and the locations of the storage areas.

42. If qualifying as a state park, please select all that apply to the premise:

- ☐ 9-hole golf course
- ☐ 18-hole golf course
- ☐ Full service lodge and dining room

SECTION K

This section must be completed if applying for an NQ-2 Retail Drink License.

43. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?

☐ YES ☐ NO

44. Is the applicant applying for a Special Sunday Retail Drink License?

☐ YES ☐ NO

This supplemental license is available ONLY if Sunday sales have been authorized by local ordinance or election.

45. Does the applicant qualify for the NQ-2 Retail Drink license as a:

- | | |
|---|--|
| <input type="checkbox"/> Restaurant with 50 seats | <input type="checkbox"/> Motel/Hotel |
| <input type="checkbox"/> Riverboat | <input type="checkbox"/> Airport Drink |
| | <input type="checkbox"/> N/A |

If qualifying as a Riverboat, **attach** a copy of the applicant's license issued by the United States Coast Guard authorizing the applicant's Riverboat to carry 100 or more passengers.

Give the address of the applicant's port: _____

46. Is the applicant applying for a Supplemental Bar License(s)?

☐ YES ☐ NO

If yes, how many? _____

47. Is the applicant applying for a Sampling License?

☐ YES ☐ NO

48. If a Motel or Hotel, is the applicant applying for a Hotel In-Room License?

☐ YES ☐ NO

SECTION L

This section must be completed if applying for a NQ-3 Retail Drink License.

49. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?

☐ YES ☐ NO

50. Does the applicant qualify for the NQ-3 Retail Drink License as a Private Club Dining Car?

☐ YES ☐ NO

51. If qualifying as a private club, **attach** documentary evidence of the applicant's non-profit status.

If qualifying as a private club, has the applicant maintained a club room(s) or all rooms of the premises from which the public has been excluded for one year?

☐ YES ☐ NO

52. Is the applicant applying for a Supplemental Bar License(s)?

☐ YES ☐ NO

If yes, how many? _____

53. Is the applicant applying for a Special Sunday Retail Drink License?

☐ YES ☐ NO

This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.

SECTION M

This section must be completed if applying for a Limited Restaurant License.

54. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
55. Does the applicant qualify for the Limited Restaurant License by meeting the requirements of:
- | | |
|--|---|
| <input type="checkbox"/> 100 seats and 70% gross annual receipts from food sales | <input type="checkbox"/> 50 seats and 70% gross annual receipts from food sales |
|--|---|
56. Is the applicant applying for a Supplemental Bar License(s)? ☐ YES ☐ NO
If yes, how many? _____
57. Is the applicant applying for a Special Sunday Retail Drink License? ☐ YES ☐ NO

This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.

SECTION N

This section must be completed if applying for a Limited Golf Course License.

58. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
59. Is the applicant applying for a Supplemental Bar License(s)? ☐ YES ☐ NO
If yes, how many? _____
60. Is the applicant applying for a Special Sunday Retail Drink License? ☐ YES ☐ NO

This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.

SECTION O

This section must be completed if applying for a Qualified Historic Site License.

61. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
62. Is the applicant applying for an Extended Hours Supplemental License or a Special Sunday Retail Drink License? ☐ YES ☐ NO

SECTION P

This section must be completed if applying for a Caterer's License.

63. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
64. Do the proposed premises contain a commissary? ☐ YES ☐ NO

Attach a copy of the applicant's Food Service Permit issued by the local Health Department.

65. If the applicant is applying for a Caterer's License as a supplemental license, check the applicable primary license type:

- | | |
|---|---|
| <input type="checkbox"/> Quota Retail Package License | <input type="checkbox"/> NQ-2 Retail Drink License |
| <input type="checkbox"/> Quota Retail Drink License | <input type="checkbox"/> Limited Restaurant License |
| <input type="checkbox"/> NQ-1 Retail Drink License | |

SECTION Q

This section must be completed if applying for a **Transitional License**.

The Distilled Spirits Administrator and Malt Beverage Administrator may issue a Transitional License(s) valid for a term of 60 days during the time a **transfer of an ongoing business** is being processed. To obtain a Transitional License, the applicant **MUST** meet the requirement of KRS 243.045:

66. Has the applicant properly filed a permanent application with both the local ABC Administrator and Kentucky Department of Alcoholic Beverage Control? ☐ YES ☐ NO
67. Has the applicant advertised its intention to apply for a license pursuant to KRS 243.360? ☐ YES ☐ NO
68. Has the applicant paid all application fees for the permanent license(s)? ☐ YES ☐ NO

Attach a copy of the required criminal background checks.

- Once a Transitional License is issued, the seller's previously existing license, at that premises, is cancelled and therefore is no longer a valid license.
- If any outstanding tax liabilities or wholesaler debts are owed on the business, no permanent alcohol license shall be issued by the Department until any such debts are paid.

SECTION R

This section must be completed if applying for a **Distiller's Class A, Distiller's Class B, Rectifier's, or Winery License**.

69. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
70. The applicant is applying for the following license type
- ☐ Distiller's Class A - produce more than 50,000 gallons annually
- ☐ Distiller's Class B - produce 50,000 gallons or less annually
- ☐ Rectifier
- ☐ Winery
71. **Attach** a copy of the applicant's Federal Basic Permit
72. If qualifying as a Distiller, is the applicant also applying for a Sampling License? ☐ YES ☐ NO

All product brands intended for in-state retail sales must be registered by the producer with the Department prior to any sale or delivery to a wholesaler in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>.

SECTION S

This section must be completed if applying for a **Small Farm Winery License**.

73. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
- Attach** a copy of the applicant's Federal Basic Permit.
- Attach** any available Federal Report of Wine Premises Operation form showing the small farm winery's production amounts.
74. The applicant is applying for the following license type:
- ☐ NQ-4 Retail Malt Beverage Drink License
- ☐ NQ-2 Retail Drink License
75. Is the applicant applying for a Special Sunday Retail Drink License? ☐ YES ☐ NO

This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.

76. In which state is the small farm winery located? _____

77. If not located in Kentucky, **attach** a copy of the applicant's equivalent license from that state.

Please be advised: All product brands intended for in state retail sales must be registered by the producer with the Department prior to any sale or delivery to a wholesaler in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>

SECTION T

This section must be completed if applying for a **Small Farm Off-Premises License**.

78. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
79. The applicant is applying for the following license type(s)
- ☐ NQ Retail Malt Beverage Package License
- ☐ NQ-4 Retail Malt Beverage Drink License
80. Is the applicant applying for a Special Sunday Retail Drink License? ☐ YES ☐ NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
81. Does the applicant currently hold a Kentucky Department of Alcoholic Beverage Control Small Farm Winery License? ☐ YES ☐ NO
- If yes, provide the applicant's license number _____

SECTION U

This section must be completed if applying for a **Brewer's License**.

82. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
83. **Attach** a copy of the applicant's Federal Basic Permit.
- All product brands intended for in-state retail sales must be registered by the producer with the Department prior to any sales or delivery to distributors in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>.**

SECTION V

This section must be completed if applying for a **Microbrewery License**.

84. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
85. Does the applicant hold any other license types? ☐ YES ☐ NO
- If yes, what? _____
86. **Attach** a copy of the applicant's Federal Basic Permit.
87. List all additional eligible license types (pursuant to KRS 243.157) for which the applicant is applying:
- _____
- _____
- All product brands intended for in-state retail sales must be registered by the applicant with the Department prior to any sale or delivery to distributors in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>.
 - Production volumes must fully comply with statutory requirements.

SECTION W

This section must be completed if applying for a **Wholesaler's, Distributor's, or Small Farm Winery Wholesaler's License**.

88. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO

89. **Attach** a copy of the applicant's Federal Basic Permit.

If applying for a storage license, complete Section Y of this application.

Distribution Contracts or Territorial Agreements must be in place for all producers and suppliers. All product brands intended for sale or importation into the Commonwealth must be jointly registered by the suppliers and the applicant with the Department prior to any sale or delivery in the Commonwealth. All wholesalers or distributors shall use Product Registration Online to be completed electronically at <https://ky.productregistrationonline.com/distributor/login> or <https://www.productregistrationonline.com>

SECTION X

This section must be completed if applying for a **Transporter's or Air Transporter License**.

90. Does the applicant meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO

Specify whether applicant is:

☐ Resident of Kentucky

☐ Non-Resident of Kentucky

Attach a copy of the applicant's Interstate Commerce Commission (ICC) authority.

91. If the applicant is applying for an Air Transporter License, is the applicant a commercial airline system, charter flight system, or commercial cargo system? ☐ YES ☐ NO

92. If granted a license, I will allow any authorized field representative of the department to stop and examine the cargo of any truck or vehicle in which alcoholic beverages are being transported within the Commonwealth of Kentucky.

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SECTION Y

This section must be completed if applying for a **Malt Beverage Storage, Distilled Spirits/Wine Storage, or Bottling House/Bottling House Storage License**.

93. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO

94. If a Retailer, Distributor, or Brewer applying for a Malt Beverage Storage License, state the applicant's Kentucky Department of Alcoholic Beverage Control license number and address of the licensed premises:

95. If applying for a Distilled Spirits/Wine Storage or Bottling House/Bottling House Storage License as a supplemental license, state the applicant's primary Kentucky Department of Alcoholic Beverage Control license number and address of the licensed premises:

96. If applying for a Distilled Spirits Wine Storage License, state the applicant's intended use:

SECTION Z

This section must be completed if applying for an Entertainment Destination Center License.

97. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
98. Are the proposed premises located in an area that meets the definition of an Entertainment Destination Center pursuant to 804 KAR 4:370? ☐ YES ☐ NO

SECTION AA

This section must be completed if applying for a Special Nonbeverage Alcohol License.

99. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes? ☐ YES ☐ NO
100. For what nonbeverage purpose does the applicant seek this license?

101. If the applicant holds any equivalent Federal Basic Permit(s), attach to this application.

SECTION BB

THE SELLER MUST COMPLETE THIS SECTION IF SECTION (D) 14 HAS BEEN ANSWERED "YES" OR IF A LICENSE IS BEING TRANSFERRED.

I (we), _____ hereby swear and affirm that I am the owner or
(print full name)

authorized officer of _____ that holds the following state license(s), the numbers of which are given here:
(name of business)

_____. The business is located at _____
(business address)

My contact information _____, _____, _____, _____, _____
(street address) (city) (county) (state) (zip)

(phone number) (fax number) (email address)

I (we) hereby surrender said license(s) and in doing so relinquish all rights and claims thereto and all privileges hereunder. I understand that if a license transfer is not approved, said license surrender shall be null and void and the license shall remain in the sellers name.

Signature of Seller _____ **Title** _____ **Date** _____
(If a partnership, all partners **must sign**. If a corporation, only one officer **must sign**)

SECTION CC

This section is not required if the applicant is applying for any of the following license types: Special Nonbeverage Alcohol, Air Transporter, Transporter's, Out-of-State Distilled Spirits/Wine Producer/Supplier, Limited Out-of-State Distilled Spirits/Wine Producer/Supplier, Micro Out-of-State Distilled Spirits/Wine Producer/Supplier, Special Agent/Solicitor, Small Farm Winery, Out-of-State Malt Beverage Producer/Supplier, or Limited Out-of-State Malt Beverage Producer/Supplier. Applicants must complete this section if an equivalent local license is required.

OBTAIN LOCAL ABC ADMINISTRATOR'S SIGNATURE OF APPROVAL

The local ABC Administrator must approve this application and such approval must be forwarded to the state ABC. Take or mail this application, fees, and all attachments to the local ABC Administrator. Obtain the local ABC Administrator's signature and approval or arrange for such approval to be sent to the state ABC Office.

I certify under oath that the applicant(s) has been approved for the equivalent local license type(s) applied herein for the identified premises, and that the applicant satisfies all local ordinances.

APPLICANT NAME _____ **ADDRESS** _____

SIGNATURE OF APPROVAL OF LOCAL ABC ADMINISTRATOR _____ **DATE** _____

City of _____ **Administrator** _____ **County of** _____ **Administrator** _____

APPLICATION AFFIDAVIT

AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE(S)

I, (print your name here) _____, **do hereby swear or affirm under penalty of perjury** that all statements contained in this application and all its attachments are true and correct to the best of my knowledge, information, and belief. I hereby swear and affirm that I shall not engage in any activity involving alcoholic beverages at the premises described herein until I have been issued the appropriate license(s) by the Kentucky Department of Alcoholic Beverage Control. I hereby swear and affirm that if the license(s) is issued, I shall abide by all state and local statutes, regulations, and ordinances relating to the manufacture, sale, use, and trafficking in alcoholic beverages. I hereby swear and affirm that no persons listed in Section (C) 3 of this application are in default of a repayment obligation under any financial program administered by a Kentucky Higher Education Assistance Authority (KHEAA) such as a student loan repayment.

Signature of Buyer or New Applicant _____ **Title** _____ **Date** _____

This concludes the ABC Basic Application.

Note: Once you have completed the application, please save a copy of the electronic version for your records. Then, print the completed applications and return it to the Department of Alcoholic Beverage Control. We accept completed applications by hand delivery, mail, or fax.



COMMONWEALTH OF KENTUCKY
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL
1003 Twilight Trail
Frankfort, Kentucky 40601-8400
502-564-4850 phone
502-564-1442 fax
<http://abc.ky.gov>

REMITTANCE FORM

*****There will be a 2.75% Convenience Fee added to the total for Credit Card payments and \$0.35 for EFT/ACH payments.*****

If you are making payment with a Credit Card or by EFT please provide the following information.

Print Name (as it appears on credit card) _____ Telephone No. _____

Billing Address _____

AMOUNT \$ _____

Method of payment

☐ Credit Card Card Type: ☐ Visa ☐ Master Card ☐ Discover

Account Number _____ Expiration Date (Month and Year) _____ / _____

(OR)

☐ EFT (Bank Name) _____, (Routing #) |: _____ |: (Checking Account #) _____ |: _____

Reason for your payment

Purpose: _____

Credit or apply this payment to: (Licensee Name) _____ (DBA) _____

Site I.D.# _____ License # _____ (Phone) _____